SOCIAL SCIENCES

Humans display a reduced set of consistent behavioral phenotypes in dyadic games

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Socially relevant situations that involve strategic interactions are widespread among animals and humans alike. To study these situations, theoretical and experimental research has adopted a game theoretical perspective, generating valuable insights about human behavior. However, most of the results reported so far have been obtained from a population perspective and considered one specific conflicting situation at a time. This makes it difficult to extract conclusions about the consistency of individuals' behavior when facing different situations and to define a comprehensive classification of the strategies underlying the observed behaviors. We present the results of a lab-in-thefield experiment in which subjects face four different dyadic games, with the aim of establishing general behavioral rules dictating individuals' actions. By analyzing our data with an unsupervised clustering algorithm, we find that all the subjects conform, with a large degree of consistency, to a limited number of behavioral phenotypes (envious, optimist, pessimist, and trustful), with only a small fraction of undefined subjects. We also discuss the possible connections to existing interpretations based on a priori theoretical approaches. Our findings provide a relevant contribution to the experimental and theoretical efforts toward the identification of basic behavioral phenotypes in a wider set of contexts without aprioristic assumptions regarding the rules or strategies behind actions. From this perspective, our work contributes to a fact-based approach to the study of human behavior in strategic situations, which could be applied to simulating societies, policy-making scenario building, and even a variety of business applications.

INTRODUCTION

Many situations in life entail social interactions where the parties involved behave strategically; that is, they take into consideration the anticipated responses of actors who might otherwise have an impact on an outcome of interest. Examples of these interactions include social dilemmas where individuals face a conflict between self and collective interests, which can also be seen as a conflict between rational and irrational decisions (1-3), as well as coordination games where all parties are rewarded for making mutually consistent decisions (4). These and related scenarios are commonly studied in economics, psychology, political science, and sociology, typically using a game theoretic framework to understand how decision-makers approach conflict and cooperation under highly simplified conditions (5-7).

Extensive work has shown that, when exposed to the constraints introduced in game theory designs, people are often not "rational" in the sense that they do not pursue exclusively self-interested objectives (8, 9). This is especially clear in the case of prisoner's dilemma (PD) games, where rational choice theory predicts that players will always defect but empirical observation shows that cooperation oftentimes occurs, even in "one-shot" games where there is no expectation of future inter-

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action among the parties involved (8, 10). These findings beg the question as to why players sometimes choose to cooperate despite incentives not to do so. Are these choices a function of a person's identity and therefore consistent across different strategic settings? Do individuals draw from a small repertoire of responses, and if so, what are the conditions that lead them to choose one strategy over another?

Here, we attempt to shed light on these questions by focusing on a wide class of simple dyadic games that capture two important features of social interaction, namely, the temptation to free-ride and the risk associated with cooperation (8, 11, 12). All are two-person, two-action games in which participants decide simultaneously which of the two actions they will take. Following previous literature, we classify participants' set of choices as either cooperation, which we define as a choice that promotes the general interest, or defection, a choice that serves an actor's self-interest at the expense of others.

The games used in our study include PD (13, 14), the stag hunt (SH) (4), and the hawk-dove (15) or snowdrift (16) games (SGs). SH is a coordination game in which there is a risk in choosing the best possible option for both players: cooperating when the other party defects poses serious consequences for the cooperator, whereas the defector faces less extreme costs for noncooperation (17). SG is an anticoordination game where one is tempted to defect, but participants face the highest penalties if both players defect (18). In PD games, both tensions are present: when a player defects, the counterpart faces the worst possible situation if he or she cooperates, whereas in that case, the defector benefits more than by cooperating. We also consider the harmony game (HG), where the best individual and collective options coincide; therefore, there should be no tensions present (19).

Several theoretical perspectives have sought to explain the seemingly irrational behavior of actors during conflict and cooperation games.

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Perhaps most prominent among them is the theory of social value orientations (20-22), which focuses on how individuals divide resources between self and others. This research avenue has found that individuals tend to fall into certain categories such as individualistic (thinking only about themselves), competitive (attempting to maximize the difference between their own and the other's payoff), cooperative (attempting to maximize everyone's outcome), and altruistic (sacrificing their own benefits to help others). Relatedly, social preferences theory posits that people's utility functions often extend beyond their own material payoff and may include considerations of aggregate welfare or inequity aversion (23). Whereas theories of social orientation and social preferences assume intrinsic value differences between individuals, cognitive hierarchy theory instead assumes that players make choices on the basis of their predictions about the likely actions of other players, and as such, the true differences between individuals come not from values but rather from depth of strategic thought (24).

One way to arbitrate between existing theoretical paradigms is to use within-subject experiments, where participants are exposed to a wide variety of situations requiring strategic action. If individuals exhibit a similar logic (and corresponding behavior) in different experimental settings, this would provide a more robust empirical case for theories that argue that strategic action stems from intrinsic values or social orientation. By contrast, if participants' strategic behavior depends on the incentive structure afforded by the social context, these findings would pose a direct challenge to the idea that social values drive strategic choices.

We therefore contribute to the literature on decision-making in three important ways. First, we expose the same participants to multiple games with different incentive structures to assess the extent to which strategies stem from stable characteristics of an individual. Second, we depart from existing paradigms by not starting from an a priori classification to analyze our experimental data. For instance, empirical studies have typically used classifications schemes that were first derived from theory, making it difficult to determine whether these classifications are the best fit for the available data. We address this issue by using an unsupervised, robust classification algorithm to identify the full set of "strategic phenotypes" that constitute the repertoire of choices among individuals in our sample. Finally, we advance research that documents the profiles of cooperative phenotypes (25) by expanding the range of human behaviors that may fall into similar types of classification. By focusing on both cooperation and defection, this approach allows us to make contributions toward a taxonomy of human behaviors (26, 27).

RESULTS

Laboratory-in-the-field experiment

We recruited 541 subjects of different ages, educational level, and social status during a fair in Barcelona (see Materials and Methods) (28). The experiment consisted of multiple rounds, in which participants were randomly assigned partners and assigned randomly chosen payoff values, allowing us to study the behavior of the same subject in a variety of dyadic games including PD, SH, SG, and HG, with different payoffs. To incentivize the experimental subjects' decisions with real material (economic) consequences, they were informed that they would proportionally receive lottery tickets (one ticket per 40 points; the modal number of tickets earned was two) to the payoff they accumulated during the rounds of dyadic games they played. The prize in the corresponding

lottery was four coupons redeemable at participating neighboring stores, worth 50 euros each. The payoff matrices shown to the participants had the following form (rows are participant's strategies, whereas columns are those of the opponent)

$$\begin{array}{ccc}
C & D \\
C & \begin{pmatrix} R & S \\
T & P \end{pmatrix}
\end{array}$$
(1)

Actions *C* and *D* were coded as two randomly chosen colors in the experiment to avoid framing effects. *R* and *P* were always set to R = 10 and P = 5, whereas *T* and *S* took values $T \in \{5, 6, ..., 15\}$ and $S \in \{0, 1, ..., 10\}$. In this way, the (T, S) plane can be divided into four quadrants, each one corresponding to a different game depending on the relative order of the payoffs: HG (S > P, R > T), SG (T > R > S > P), SH (R > T > P > S), and PD (T > R > P > S). Matrices were generated with equal probability for each point in the (T, S) plane, which was discretized as a lattice of 11×11 sites. Points in the boundaries between games, at the boundary of our game space, or in its center do not correspond to the four basic games previously described. However, we kept those points to add generality to our exploration, and in any event, we made sure in the analysis that the results did not change even if we removed those special games (see below). For reference, see Fig. 1 (middle) for the Nash (symmetric) equilibrium structure of each one of these games.

Population-level behavior

The average level of cooperation aggregated over all games and subjects is $\langle C \rangle = 0.49 \pm 0.01$, where the error corresponds to a 95% confidence interval (we apply this rule to the rest of our results, unless otherwise specified). This is in agreement with the theoretically expected value, $\langle C \rangle^{\text{theo}} = 0.5$, calculated by averaging over all the symmetric Nash equilibria for the (*T*, *S*) values analyzed. However, the aggregate cooperation heatmap looks very different from what would be obtained by simulating a population of players on a well-mixed scenario (compare right and central panels in Fig. 1).

On the other hand, the experimental levels of cooperation per game (excluding the boundaries between them, so the points strictly correspond to one of the four games) are as follows: $\langle C \rangle_{PD} = 0.29 \pm 0.02$ ($\langle C \rangle_{PD}^{\text{theo}} = 0$), $\langle C \rangle_{SG} = 0.40 \pm 0.02$ ($\langle C \rangle_{SG}^{\text{theo}} = 0.5$), $\langle C \rangle_{SH} = 0.46 \pm 0.02$ ($\langle C \rangle_{SH}^{\text{theo}} = 0.5$), and $\langle C \rangle_{HG} = 0.80 \pm 0.02$ ($\langle C \rangle_{HG}^{\text{theo}} = 1$). The values are considerably different from the theoretical ones in all cases, particularly for PD and HG.

Emergence of phenotypes

After looking at the behavior at the population level, we focus on the analysis of the decisions at the individual level (27). Our goal is to assess whether individuals behave in a highly idiosyncratic manner or whether, on the contrary, there are only a few "phenotypes" by which all our experimental subjects can be classified. To this aim, we characterize each subject with a four-dimensional vector where each dimension represents a subject's average level of cooperation in each of the four quadrants in the (T, S) plane. Then, we apply an unsupervised clustering procedure, the K-means clustering algorithm (29), to group those individuals that have similar behaviors, that is, the values in their vectors are similar. Input for this algorithm (see section S4.7) is the number of clusters k, which is yet to be determined, and this algorithm groups the data in such a way that it both minimizes the dispersion within

clusters and maximizes the distance among centroids of different clusters. We found that k = 5 clusters is the optimal number of groups according to the Davies-Bouldin index (see section S4.8) (30), which does not assume beforehand any specific number of types of behaviors.

The results of the clustering analysis (Fig. 2) show that there is a group that mostly cooperates in HG, a second group that cooperates in both HG and SG, and a third one that cooperates in both HG and SH. Players in the fourth group cooperate in all games, and finally, we find a small group who seems to randomly cooperate almost everywhere, with a probability of approximately 0.5.

To obtain a better understanding of the behavior of these five groups, we represent the different types of behavior in a heatmap (Fig. 3) to extract characteristic behavioral rules. In this respect, it is important to note that Fig. 3 provides a complementary view of the clustering results: our clustering analysis was carried out attending only to the aggregate cooperation level per quadrant, that is, to four numbers or coordinates per subject, whereas this plot shows the average number of times the players in each group cooperated for every point in the space of games.

The cooperation heatmaps in Fig. 3 show that there are common characteristics of subjects classified in the same group even when looking at every point of the (T, S) plane. The first two columns in Fig. 3 display consistently different behaviors in coordination and anticoordination games, although they both act as prescribed by the Nash equilibrium in PD and HG. Both groups are amenable to a simple interpretation that links them to well-known behaviors in economic theory.

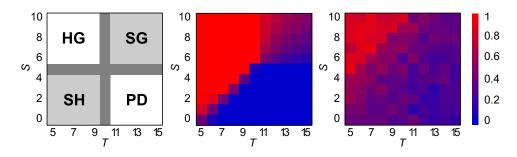


Fig. 1. Summary of the games used in the experiment and their equilibria. Schema with labels to help identify each one of the games in the quadrants of the (*T*, *S*) plane (left), along with the symmetric Nash equilibria (center) and average empirical cooperation heatmaps from the 8366 game actions of the 541 subjects (right), in each cell of the (*T*, *S*) plane. The symmetric Nash equilibria (center) for each game are as follows: PD and HG have one equilibrium, given by the pure strategies *D* and *C*, respectively. SG has a stable mixed equilibrium containing both cooperators and defectors, in a proportion that depends on the specific payoffs considered. SH is a coordination game displaying two pure-strategy stable equilibria, whose bases of attraction are separated by an unstable one, again depending on the particular payoffs of the game (*5*, *6*, *43*). The fraction of cooperation is color-coded (red, full cooperation; blue, full defection).

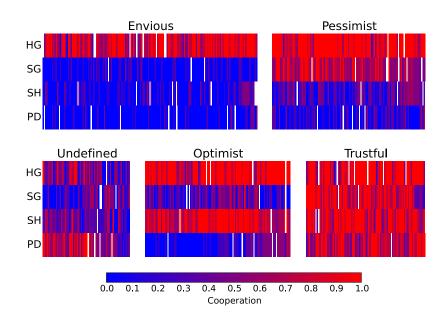


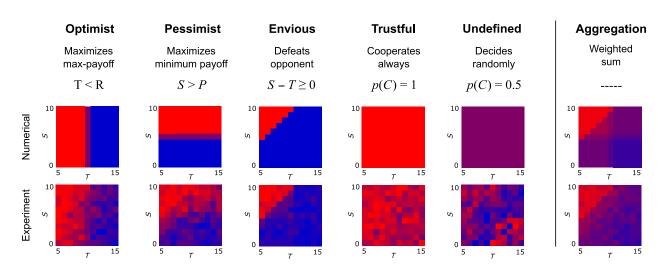
Fig. 2. Results from the K-means clustering algorithm. For every cluster, a column represents a player belonging to his or her corresponding cluster, whereas the four rows indicate the four average cooperation values associated with his or her (from top to bottom: cooperation in HG, SG, SH, and PD games). We color-coded the average level of cooperation for each player in each game (blue, 0.0; red, 1.0), whereas the lack of value in a particular game for a particular player is coded in white. Cluster sizes: Envious, n = 161 (30%); Pessimist, n = 113 (21%); Undefined, n = 66 (12%); Optimist, n = 110 (20%); Trustful, n = 90 (17%).

Thus, the first phenotype (n = 110 or 20% of the population) cooperates wherever T < R (that is, they cooperate in the HG and in the SH and defect otherwise). By using this strategy, these subjects aim to obtain the maximum payoff without taking into account the likelihood that their counterpart will allow them to get it, in agreement with a maximax behavior (*31*). Accordingly, we call this first phenotype "optimists." Conversely, we label subjects in the second phenotype "pessimists" (n = 113or 21% of the population) because they use a maximin principle (*32*) to choose their actions, cooperating only when S > P (that is, in HG and SG) to ensure a best worst-case scenario. The behaviors of these two phenotypes, which can hardly be considered rational [as discussed by Colman (*31*)], are also associated with different degrees of risk aversion, a question that will be addressed below.

Regarding the third column in Fig. 3, it is apparent from the plots that individuals in this phenotype (n = 161 or 30% of the population) exclusively cooperate in the upper triangle of HG [that is, wherever $(S - T) \ge 0$]. As was the case with optimists and pessimists, this third behavior is far from being rational in a self-centered sense, in so far as players forsake the possibility of achieving the maximum payoff by playing the only Nash equilibrium in HG. In turn, these subjects seem to behave as driven by envy, status-seeking consideration, or lack of trust. By choosing *D* when S > P and R > T, these players prevent their counterparts from receiving more payoff than themselves even when, by doing so, they diminish their own potential payoff. The fact that competitiveness overcomes rationality as players basically attempt to ensure they receive more payoff than their opponents suggests an interpretation of the game as an assurance game (3), and accordingly, we have dubbed this phenotype "envious."

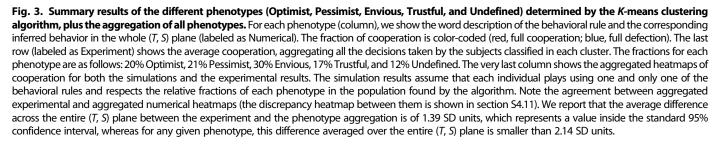
of the (T, S) plane (n = 90 or 17% of the population). In this case, and opposite to the previous one, we believe that these players' behavior can be associated with trust in partners behaving in a cooperative manner. Another way of looking at trust in this context is in terms of expectations, because it has been shown that expectation of cooperation enhances cooperation in the PD (33). In any event, explaining the roots of this type of cooperative behavior in a unique manner seems to be a difficult task, and alternative explanations of cooperation on the PD involving normalized measures of greed and fear (34) or up to five simultaneous factors (35) have been advanced too. Lacking an unambiguous motivation of the observed actions of the subjects in this group, we find the name "trustful" to be an appropriate one to refer to this phenotype. Last, the unsupervised algorithm found a small fifth group of players (n = 66 or 12% of the population) who cooperate in an approximately random manner, with a probability of 0.5, in any situation. For lack of better insight into their behavior, we will hereinafter refer to this minority as "undefined."

Remarkably, three of the phenotypes reported here (optimist, pessimist, and trustful) are of a very similar size. On the other hand, the largest one is the envious phenotype, including almost a third of the participants, whereas the undefined group, which we cannot yet consider as a bona fide phenotype because we have not found any interpretation of the corresponding subjects' actions, is considerably smaller than all the others. In agreement with abundant experimental evidence, we have not found any purely rational phenotype: the strategies used by the four relevant groups are, to different extents, quite far from self-centered rationality. Note that ours is an across-game characterization, which does not exclude the possibility of subjects taking rational, purely self-regarding decisions when restricted to one specific game (see section S4.5).



The fourth phenotype (fourth column in Fig. 3) includes those players who cooperate in almost every round and in almost every site

Finally, and to shed more light on the phenotypes found above, we estimate an indirect measure of their risk aversion. To do this, we



consider the number of cooperative actions in SG together with the number of defective actions in SH (over the total sum of actions in both quadrants for a given player; see section S4.5). Whereas envious, trustful, and undefined players exhibit intermediate levels of risk aversion (0.52, 0.52, and 0.54, respectively), pessimists exhibit significantly higher value (0.73), consistent with their fear of facing the worst possible outcome and their choice of the best worst-case scenario. In contrast, the optimist phenotype shows a very low risk aversion (0.32), in agreement with the fact that they aim to obtain the maximum possible payoff, taking the risk that their counterpart does not work with them toward that goal.

Robustness of phenotypes

We have carefully checked that our *K*-means clustering results are robust. Lacking the "ground truth" behind our data in terms of different types of individual behaviors, we must test the significance and robustness of our clustering analysis by checking its dependence on the data set itself. We studied this issue in several complementary manners. First, we applied the same algorithm to a randomized version of our data set (preserving the total number of cooperative actions in the population but destroying any correlation among the actions of any given subject), showing no significant clustering structure at all (see section S4.7 for details).

Second, we ran the *K*-means clustering algorithm on portions of the original data with the so-called "leave-*p*-out" procedure (36). This test showed that the optimum five-cluster scheme found is robust even when randomly excluding up to 55% of the players and their actions (see section S4.7 for details). Moreover, we repeated the whole analysis, discarding the first two choices made by every player, to account for excessive noise due to initial lack of experience; the results more clearly show even the same optimum at five phenotypes. See section S4.7 for a complete discussion.

Third, we tested the consistency among cluster structures found in different runs of the same algorithm for a fixed number of clusters, that is to say, how likely it is that the particular composition of individuals in the cluster scheme from one realization of the algorithm is correlated with the composition from that of a different realization. To ascertain this, we computed the normalized mutual information score MI (see section S4.9 for formal definition) (37), knowing that the comparison of two runs with exactly the same clustering composition would give a value MI = 1 (perfect correlation), and MI = 0 would correspond to a total lack of correlation between them. We ran our K-means clustering algorithm 2000 times for the optimum k = 5 clusters and paired the clustering schemes for comparison, obtaining an average normalized mutual information score of MI = 0.97 (SD, 0.03). To put these numbers in perspective, the same score for the pairwise comparison of results from 2000 realizations of the algorithm on the randomized version of the data is MI = 0.59 (SD, 0.18) (see section S4.9 for more details).

All the tests presented above provide strong support for our classification in terms of phenotypes. However, we also searched for possible dependencies of the phenotype classification on the age and gender distributions for each group (see section S4.10), and we found no significant differences among them, which hints toward a classification of behaviors (phenotypes) beyond demographic explanations.

DISCUSSION AND CONCLUSIONS

We have presented the results of a laboratory-in-the-field experiment designed to identify phenotypes, following the terminology fittingly in-

troduced by Peysakhovich et al. (25). Our results suggest that the individual behaviors of the subjects in our population can be described by a small set of phenotypes: envious, optimist, pessimist, trustful, and a small group of individuals referred to as undefined, who play an unknown strategy. The relevance of this repertoire of phenotypes arises from the fact that it has been obtained from experiments in which subjects played a wide variety of dyadic games through an unsupervised procedure, the K-means clustering algorithm, and that it is a very robust classification. With this technique, we can go beyond correlations and assign specific individuals to specific phenotypes, instead of looking at (aggregate) population data. In this respect, the trimodal distributions of the joint cooperation probability found by Capraro et al. (38) show much resemblance to our findings, and although a direct comparison is not possible because they correspond to aggregate data, they point in the direction of a similar phenotype classification. In addition, our results contribute to the currently available evidence that people are heterogeneous, by quantifying the degree of heterogeneity, in terms of both the number of types and their relative frequency, in a specific (but broad) suite of games.

Although the robustness of our agnostic identification of phenotypes makes us confident of the relevance of the behavioral classification, and our interpretation of it is clear and plausible, it is not the only possible one. It is important to point out that connections can also be drawn to earlier attempts to classify individual behaviors. As we have mentioned previously, one theory that may also shed light on our classification is that of social value orientation (20-22). Thus, the envious type may be related to the competitive behavior found in that context (although in our observation, envious people just aim at making more profit than their competitors, not necessarily minimizing their competitors' profit); optimists could be cooperative, and trustful seem very close to altruistic. As for the pessimist phenotype, we have not been able to draw a clear relationship to the types most commonly found among social value orientations, but in any event, the similarity between the two classifications is appealing and suggests an interesting line for further research. Another alternative view on our findings arises from social preferences theory (23), where, for instance, envy can be understood as the case in which inequality that is advantageous to self yields a positive contribution to one's utility (39-42). Altruists can be viewed as subjects with concerns for social welfare (39), whereas other phenotypes are difficult to be understood in this framework, and optimists and pessimists do not seem to care about their partner's outcome. However, other interpretations may apply to these cases: optimists could be players strongly influenced by payoff dominance a la Harsanyi and Selten (43), in the sense that these players would choose strategies associated with the best possible payoff for both. Yet, another view on this phenotype is that of team reasoning (44-46), namely, individuals whose strategies maximize the collective payoff of the player pair if this strategy profile is unique. Proposals such as the cognitive hierarchy theory (24, 47) and the level-k theory (48, 49) do not seem to fit our results in so far as the best response to the undefined phenotype, which would be the zeroth level of behavior, does not match any of our behavioral classes.

Our results open the door to making relevant advances in a number of directions. For instance, they point to the independence of the phenotypic classification of age and gender. Although the lack of gender dependence may not be surprising, it would be really astonishing that small children would exhibit behaviors with similar classifications in view of the body of experimental evidence about their differences from adults (50-55), and further research is needed to assess this issue in detail. As discussed also by Peysakhovich *et al.* (25), our research does not illuminate whether the different phenotypes are born, made, or something in between, and thus, understanding their origin would be a far-reaching result.

We believe that applying an approach similar to ours to obtain results about the cooperative phenotype (25, 38, 56) and, even better, to carry out experiments with an ample suite of games, as well as a detailed questionnaire (57), is key in future research. In this regard, it has to be noted that the relationship between our automatically identified phenotypes and theories of economic behavior yields predictions about other games: envy and expectations about the future and about other players will dictate certain behaviors in many other situations. Therefore, our classification here can be tested and refined by looking for phenotypes arising in different contexts. This could be complemented with a comparison of our unsupervised algorithm with the parametric modeling approach by Cabrales (41) or even by implementing flexible specifications to social preferences (23, 39, 40) or social value orientation (20–22) to improve the understanding of our behavioral phenotypes.

Finally, our results also have implications in policy-making and reallife economic interactions. For instance, there is a large group of individuals, the envious ones (about a third of the population), that in situations such as HG fail to cooperate when they are at risk of being left with lower payoff than their counterpart. This points to the difficulty of making people understand when they face a nondilemmatic, win-win situation, and that effort must be expended to make this very clear. Other interesting subpopulations are those of the pessimist and optimist phenotypes, which together amount to approximately half of the population. These people exhibit large or small risk aversion, respectively, and use an ego-centered approach in their daily lives, thus ignoring that others can improve or harm their expected benefit with highly undesirable consequences. A final example of the hints provided by our results is the existence of an unpredictable fraction of the population (undefined) that, even being small, can have a strong influence on social interactions because its noisy behavior could lead people with more clear heuristics to mimic its erratic actions. On the other hand, the classification in terms of phenotypes (particularly if, as we show here, it comprises only a few different types) can be very useful for firms, companies, or banks interacting with people: it could be used to evaluate customers or potential ones or even employees for managerial purposes, allowing for a more efficient handling of the human resources in large organizations. This approach is also very valuable in the emergent deliberative democracy and open-government practices around the globe [including the Behavioural Insights Team (58) of the UK government, its recently established counterpart at the White House or the World Health Organization (59)]. Research following the lines presented here could lead to many innovations in these contexts.

MATERIALS AND METHODS

The experiment was conducted as a lab-in-the-field, that is, to avoid restricting ourselves to the typical samples of university undergraduate students, we took our laboratory to a festival in Barcelona and recruited subjects from the general audience (*28*). This setup allows, at the very least, to obtain results from a very wide age range, as was the case in a previous study where it was found that teenagers behave differently (*55*). All participants in the experiment signed an informed consent to participate. In agreement with the Spanish Law for Personal Data

Protection, no association was ever made between their real names and the results. This procedure was checked and approved by the Viceprovost of Research of Universidad Carlos III de Madrid, the institution funding the experiment.

To equally cover the four dyadic games in our experiments, we discretized the (T, S) plane as a lattice of 11×11 sites. Each player was equipped with a tablet running the application of the experiment (see section S1 for technical details and section S2 for the experiment protocol). The participants were shown a brief tutorial in the tablet (see the translation of the tutorial in section S3) but were not instructed in any particular way nor with any particular goal in mind. They were informed that they had to make decisions in different conditions and against different opponents in every round. They were not informed about how many rounds of the game they were going to play. Because of practical limitations, we could only simultaneously host around 25 players, so the experiment was conducted in several sessions over a period of 2 days. In every session, all individuals played a different, randomly picked number of rounds between 13 and 18. In each round of a session, each participant was randomly assigned a different opponent and a payoff matrix corresponding to a different (T, S) point among our 11 × 11 different games. Couples and payoff matrices were randomized in each new round, and players did not know the identity of their opponents. In case there was an odd number of players or a given player was nonresponsive, the experimental software took over and made the game decision for him or her, accordingly labeling its corresponding data to discard actions in the analysis (143 actions). When the action was actually carried out by the software, the stipulation was that it repeated the previous choice of C or D with an 80% probability. In the three cases where a session had an odd number of participants, it has to be noted that no subjects played all the time against the software, because assigning of partners was randomized for every round. The total number of participants in our experiment was 541, adding up to a total of 8366 game decisions collected, with an average number of actions per (T, S) value of 69.1 (see also section S4.3).

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/ content/full/2/8/e1600451/DC1

Technical implementation of the experiment

Running the experiment

- Translated transcript of the tutorial and feedback screen after each round
- Other experimental results
- fig. S1. System architecture.

fig. S2. Age distribution of the participants in our experiment.

fig. S3. Screenshots of the tutorial shown to participants before starting the experiment and feedback screen after a typical round of the game.

fig. S4. Fraction of cooperative actions for young (\leq 15 years old) and adult players (>16 years old) and relative difference between the two heatmaps: (young – adults)/adults.

fig. S5. Fraction of separate cooperative actions for males and females and relative difference between the two heatmaps: (males – females)/females.

fig. S6. Fraction of cooperative actions separated by round number: for the first 1 to 3 rounds, 4 to 10 rounds, and last 11 to 18 rounds.

fig. S7. Relative difference in the fraction of cooperation heatmaps between groups of rounds. fig. S8. Total number of actions in each point of the (T,S) plane for all 541 participants in the experiment (the total number of game actions in the experiment adds up to 8366).

fig. S9. SEM fraction of cooperative actions in each point of the (T,S) plane for all the participants in the experiment.

fig. S10. Average fraction of cooperative actions (and SEM) among the population as a function of the round number overall (left) and separating the actions by game (right).

fig. S11. Distribution of fraction of rational actions among the 541 subjects of our experiment, when considering only their actions in HG or PD, or both.

fig. S12. Fraction of rational actions as a function of the round number for the 541 subjects, defined by their actions in the PD game and HG together (top) and independently (bottom). fig. S13. Values of risk aversion averaged over the subjects in each phenotype.

fig. S14. Average response times (and SEM) as a function of the round number for all the participants in the experiment and separating the actions into cooperation or defection.

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Supplementary Materials for

Humans display a reduced set of consistent behavioral phenotypes in dyadic games

Julia Poncela-Casasnovas, Mario Gutiérrez-Roig, Carlos Gracia-Lázaro, Julian Vicens, Jesús Gómez-Gardeñes, Josep Perelló, Yamir Moreno, Jordi Duch, Angel Sánchez

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- Running the experiment
- Translated transcript of the tutorial and feedback screen after each round
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S.1. Technical implementation of the experiment

To conduct the experiment and collect the data we implemented a local network architecture (see fig. S1) which consisted of 25 mobile devices (tablets), a router, and a laptop running a web server and a database server. The system was designed to allow playing synchronized sessions, to collect and store user data safely, and to control in real time the experiment while the users were playing against each other.

The game was accessible through a web application specifically designed for tablets. All the interactions that users made through the game interface were immediatelly sent to the server through a client API -no data was stored in the tablets-. The server also provided a server API to control and monitor the status of each experiment session.

The software of the experiment was developed using Django framework and Javascript. Both APIs were implemented using RESTful services and JSON objects for the exchange of data between server and clients, which was stored in a MySQL database.

S.2. Running the experiment

The experiment was carried out during the game festival (Festival del Joc) DAU Barcelona http://lameva.barcelona.cat/daubarcelona, in December 2014, over a period of two days. We collected data from 541 subjects in total, who were recruited by our team among the game fair attendees. Due to space limitations, the experiment took place in multiple sessions over those two days, in groups of 15 - 25 people. The average age among our 541 subjects was 31.3 (SD=14.3) (see fig. S2 for the age distribution of the population), with 64.5% males and 35.5% females.

Each person was given a tablet to play the game using the tablet's browser. Before the actual experiment started, the subjects were shown a tutorial in their tablets, to learn (i) the basic rules

of how to play the game, (ii) an explanation about the meaning of the payoff matrix and their possible choices, and (iii) a couple of examples of game rounds equivalent to the ones they would face during the actual game. Also, some of our team members were walking around the room answering questions from the subjects during the tutorial period (but not during the actual game). Nonetheless, we did not instruct them to play in any particular way nor with any one particular goal in mind. In fig. S3 we show the tutorial screens. After a player had read the tutorial, she pressed a button to indicate the system that she was ready to start playing. Once everyone was ready, the game administrator started the game.

Each game session was carried out for a random number of rounds, between 13 and 18. The players did not know the total number of rounds they were going to play. For each round, subjects were randomly assigned different opponents, and nobody knew who they were playing against. In each round of the game, the players had 40 seconds to make their action choice. If they did not choose anything, a random choice was generated by the system (and saved in our database, properly labeled to be discarded in the analysis). After a player had made a decision in a particular round, she had to wait until all other players were done too, before obtaining the outcome of the round and proceeding to the next game round (fig. S3j). Finally, in order to encourage the experimental subjects' decisions with real material (economic) consequences, they were informed that they would receive lottery tickets proportionally to the payoff they accumulated during the rounds of dyadic games they played. The four prizes in the corresponding lottery were coupons redeemable at participating neighboring stores, worth 50 euros each.

S.3. Translated transcript of the tutorial and feedback screen after each round

Before the experiment started, and for each group of subjects, we showed them a tutorial in the same tablets used to play the game. The format and presentation of the game examples used

in the tutorial were identical to those of the real experiment. We present next the translation into English of the text from every screen of the tutorial (the original was made available to the participants in Castilian/Spanish and Catalan.

Tutorial Screen #1. See fig. S3(a). Welcome to Dr. Brain. The game, designed to study how we make decisions, is made of several rounds with different opponents located in the DAU. During the experiment we don't expect you to behave in any particular way: there are no wrong nor incorrect answers. You will simply have a limited time to make your decisions. In these next screens we will teach you how to play Dr. Brain. Use the side arrow keys to move within the tutorial, and when you are done you will be able to start the rounds. This game has been thought by scientists from the Universitat of Barcelona (UB), Universitat Rovira i Virgili (URV), Instituto de Biocomputación and Sistemas Complejos (BIFI)-Universidad de Zaragoza (UZ) and Universidad Carlos III in Madrid (UC3M). It is an experiment to study and understand how we humans make decisions.

Tutorial Screen #2. See fig. S3(b). The rules of Dr. Brain. It is important that you don't talk to other players during the experiment. Keep focused! The decisions made during the experiment and the accumulated points will determine your chances of wining prizes: the more points, the more tickets you will get for the raffle. If you leave the game while it is in progress, you won't be able to come back in!

Tutorial Screen #3. See fig. S3(c). *This is the screen you will see when the rounds of the game start. In each one of them, we will assign you a random partner to play.*

Tutorial Screen #4. See fig. S3(d). Each round has a table that represents your opponent's possible actions as well as yours. Your opponent and you will follow the same rules in the round. In this way, depending on what each one of you choose, you will win more or less. The rows represent your choice, the columns represent your opponent's. For each choice, it is listed how much you will win, and how much your opponent will.

Tutorial Screen #5. See fig. S3(e). Pay attention, the tables may change from round to round, and the rules may be different. You may win more or less points, o what seemed more interesting may be different now.

Tutorial Screen #6. See fig. S3(f). To play you must choose one of the two options, represented by a color. Your opponent plays following the same rules as you, described in the table, but you won't know his choice until after the end of the round.

Tutorial Screen #7. See fig. S3(g). Every round of the game lasts 40 seconds, you have to choose one of the two actions during that time. If you don't choose anything, the computer will do it for you randomly and you will move on to play the next round. Don't worry, 40 seconds is plenty of time!

Tutorial Screen #8. See fig. S3(h). *Example: If you pick RED and your opponent picks GREEN. You (red) win 8 and your opponent (green) wins 6.*

Tutorial Screen #9. See fig. S3(i). *Example: If you pick PURPLE and your opponent picks* YELLOW. You (purple) win 11 and your opponent (yellow) wins 0. If your adversary chooses... If you choose... You win... He wins... What do you choose?

Feedback Screen after a typical round of the game. See fig. S3(j). Almost there, thanks for your patience! You and your opponent have both chosen YELLOW. You and your opponent have earn 5 each. Next game starts in... (countdown)

S.4. Other experimental results

S.4.1. Fraction of cooperation by age and gender

We did not find any significant differences in the fraction of cooperative actions in the whole (T, S)-plane by age when separating young players (≤ 15 years old) from adults (> 16 years old) (see fig. S4) nor between males and females (see fig. S5).

S.4.2. Fraction of cooperation by game round

We did not observe large differences in the fraction of cooperative actions in the whole (T, S)plane when separating by game round, with the exception of the first few rounds of the session (see fig. S6 for heatmaps of cooperation and fig. S7 of heatmaps of relative differences in cooperation).

S.4.3. Number of actions per $({\cal T},{\cal S})$ -plane point and Standard Error of the mean fraction of cooperation

The total number of actions generated by our 541 subjects was 8,366. The (T, S)-plane was discretized into a 11×11 lattice, and the (T, S) point for any given pair of opponents and for any given round was randomly generated in such a way that subjects had uniform probability to be assigned to any point in the (T, S)-plane. Thus, the average number of actions per (T, S) point is 69. In fig. S8 we show the total number of actions per point in the (T, S)-plane for all subjects.

On the other hand, in fig. S9, we show the Standard Error of the mean fraction of cooperative actions for all the actions and all the players in the experiment, for the whole (T,S)-plane. We observe that the values for the Standard Error of the mean are uniformly distributed across the entire (T,S)-plane, except for the upper-left triangle of the HG, where the error is clearly lower than in the rest of the regions. This seems to indicate that at a population level, most people chose the same action at least in that particular region.

S.4.4. Time evolution of the fraction of cooperation

Our experiment was designed to avoid learning or memory effects as much as possible, making each subject play knowingly in different game conditions and against different anonymous opponents in every round. In the left panel of fig. S10, we show the average fraction of cooperative actions as a function of the round number over the whole population, and we observe how there is only a very small decline in cooperation as the round number increases, specially during the first two or three rounds. Also, note that the dispersion of the values is larger in the last few rounds, since every subject play a random total number of rounds between 13 and 18 rounds. Similarly, we show in the right panel of fig. S10 the average fraction of cooperative actions as a function of the round number, separating the actions into the different games. In this case we do observe a small decline of cooperation in the case of the Prisoner's Dilemma (PD) and the otherft (SG), and a small increase in cooperation in the Harmony (HG), while the fraction of cooperative actions doesn't show any particular trend for the Stag Hunt (SH).

S.4.5. Rationality and Risk aversion

We measure the level of rationality (only under the assumption of self-interest) among our subjects using only their actions in the Harmony and/or Prisoner's Dilemma games. According to Game Theory, the rational action in the Harmony game is to cooperate, while in the Prisoner's Dilemma it is to defect.

In fig. S11 we show the distributions of the fraction of rational actions chosen by the subjects in the Harmony game (HG), in the Prisoner's Dilemma (PD), and in both games combined, along with the corresponding mean values among the population (vertical purple lines). We observe that an important subset of individuals presents a fraction of rational actions near 1.0 (around 50% of subjects when calculated with either game independently, and around 30% when calculated with both games combined). However, there are also some others that act irrationally (around 5% or 10% as calculated with either game). Note that the average value of rationality of the whole population when both games are considered in the statistics, is around 75% (see purple vertical lines in fig. S11).

Moreover, we checked the time evolution of the fraction of rational actions in the population,

as defined by their actions in the Harmony (HG) and Prisoner's Dilemma (PD) games together, and independently (fig. S12), and we do not observe any significant increase or decrease of rationality as a function of the round number in any case.

Regarding the definition of risk-aversion, we choose to define it as the number of cooperative actions in the SG together with the number of defective actions in the SH (over the total sum of actions in both quadrants for a given player). The rationale behind such a combined measure of risk aversion is the avoidance of the bias of pure cooperativeness: were we to measure risk aversion only in the SH (instead of combining both SH and SG), for a group that defects a lot everywhere in the (T, S)-plane, it would appear as if they are more risk averse than they really are, while a mostly cooperative group would appear as less risk averse than they really are. A similar reasoning would apply to only using the SG quadrant for the measure, and therefore we have looked at the actions in both the coordination and anti-coordination games together.

In fig. S13 we represent the average values of risk-aversion according to this definition, for each one of the phenotypes, and the population as a whole. While Envious, Trustful, and Un players exhibit intermediate levels of risk aversion (0.52, 0.52 and 0.54, respectively), Pessimists exhibit a significantly higher value (0.73), consistent with their fear of facing the worst possible outcome and their choice of the best worst-case scenario. In contrast, the Optimist phenotype shows a very low risk aversion (0.32), in agreement with the fact that they aim to obtaining the maximum possible payoff, risking the possibility that their counterpart do not work with them towards that goal.

S.4.6. Response times

We have also examined the response times of the individuals in our experiment, separating the data by cooperation/defection actions, and as a function of the round number. Figure S14 shows that the average response time is around 15 seconds. We did not find any dependence with the

round number nor with the type of action. Finally, fig. S15 displays the distributions of response times for all individuals, for each of the two possible actions.

S.4.7. Clustering Analysis

We hypothesized that there are distinct, well-defined types of individuals (or phenotypes) in our dataset, that can be told apart by using an unsupervised clustering algorithm. Hence, we run a *K*-means clustering algorithm on our data (using the Scikit-learn Python package) to analyze its clustering structure. We represent each participant in the dataset by a four-dimensional vector, corresponding to her average fraction of cooperative actions in each one of the four dyadic games (Prisoner's Dilemma, Stag Hunt, Snowdrift and Harmony).

The *K*-means unsupervised clustering algorithm groups the data into a user-defined number of clusters, by both minimizing the dispersion within each cluster and maximizing the distance between the centroids of each pair of clusters. For a given number of clusters, k = 2, 3, 4, ..., 20, we run the algorithm 200 times on our data (with different seeds for the algorithm in every run), and obtain the average value of the BD-index (see subsection below for formal definition), which is a measure of how optimal is that *K*-scheme. This way we can pick which one is the best cluster scheme. In fig. S16 we show the average value and the Standard Deviation (SD) of the DB-index, as a function of the number of clusters in the partition. This representation will have a minimum around the optimum number of clusters for a given dataset. Conversely, it would be monotonically decreasing if the data set lacks any significant cluster structure.

We found that there is an optimum around a scheme with 5 or 6 clusters (black line in fig. S16). However, due to the fact that the SD is considerably smaller for 5 than for 6 (which indicates that the partition schemes found in different realizations of the algorithm for k = 5 are much more similar to each other in terms of their corresponding DB-index, than in the case of k = 6), we pick k = 5 as our optimum clustering partition. Note also that the SD is very

large for any partition with 6 or more clusters, which also points to the lack of robustness of those partition schemes.

It is also important to mention that this clustering approach does not allow us to compare our results against the 'ground truth', since that is unknown to us. We can only test for its robustness, and we do this in multiple ways. We present the results from the same algorithm, also run 200 times, but this time on a randomized version of our data. This data randomization is done as follows: we take the 8, 366 actions of the 541 subjects and create an 'action pool' with them. From this pool of data we draw (with replacement) to obtain the new, randomized sets of actions for each person, in such a way that we preserve the number of times each subject has played and the particular (T, S) points she played in, but now her actions are randomized. With this randomization procedure we preserve the average fraction of cooperative actions in the population, but destroy any possible correlations among the actions of any given subject. Note in fig. S16 that with the randomized version of the data (green line), there is no local minimum for the DB index, and the best partition would be to have as many clusters as possible, which is an indication of the lack of internal structure of the randomized data.

On the other hand, and recalling that the cooperation patterns in the heatmap for all users seems to be a little less clear during the first few rounds (while the subjects seem to be picking up the mechanics of the experiment), than during the rest of the experiment (see fig. S6), we also test the clustering structure of our data when removing the first couple of rounds for every subject. In this case, we observe that the cluster structure is even clearer, with an even more significant minimum at k = 5 clusters, as indicated by the DB-index (fig. S16, red line).

On the other hand, we also wanted to test the robustness of our clustering analysis against data perturbations, specifically by running it on just a subset of the original data. In order to do so, we run the algorithm 200 times again, but in each realization we exclude a given number of players and all their actions, randomly chosen (that is to say, we perform a leave-p-out analysis,

for different values of p). We do this for a scheme with k = 2, 3, 4, ..., 20 clusters, and leaving out p = 100, 300, 400, and 450 subjects (out of the total 541), and calculate again the average DB index for them. In fig. S17 we show the results from the leave-p-out procedure as they compare to the original data (the black dashed line in fig. S17). We observe that the results of the K-means analysis in our data are very robust when randomly removing $p \le 300$ subjects from the original set and all their actions (that is up to 55% of the data): we observe that the optimum in the DB index remains around the same value k = 5. However, the SD is larger for all the leave-p-out cases, and for any given k or p, than for the analysis perform over the original data set. This variability gets larger the more data is randomly excluded. Of course, if too much of the data is removed ($p \ge 300$ subjects), the K-means algorithm is no longer able to retrieve the original optimum cluster structure, as can be inferred from the gradual disappearance of the local minimum in fig. S17 as p increases. We remind the reader that a data set lacking any cluster structure would render a monotonically decreasing DB index as a function of the number of clusters.

S.4.8. DB index

The Davies-Bouldin index, or DB index (30), is a metric for evaluating and comparing clustering algorithms. It is minimized by the optimum clustering scheme, that is to say, by the partition in a number of clusters such that it presents the minimum dispersion within each cluster, and the maximum distance between all pairs of clusters. In particular, this metric performs an internal evaluation, that is, the validation of the goodness of the clustering partition is made using quantities inherent to the data set. Hence, it does not do a validation against the 'ground truth'. We picked this particular validation method because in this context there isn't a known ground truth for types of players (or 'phenotypes').

Given a certain scheme or partition in N clusters, let C_i be a cluster of vectors, and let

 $\vec{X_{\ell}}$ be an n-dimensional feature vector that represents subject ℓ (in our particular case, n = 4 dimensions), who is assigned to cluster C_i . The dispersion S_i within cluster C_i is calculated as

$$S_{i} = \frac{1}{T_{i}} \sum_{\ell=1}^{T_{i}} \|\vec{X}_{\ell} - \vec{A}_{i}\|$$
(1)

where \vec{A}_i is the centroid of cluster C_i , $\|\vec{X}_{\ell} - \vec{A}_i\|$ denotes the Euclidean distance between the vector \vec{X}_{ℓ} and the centroid \vec{A}_i , and T_i is the size of cluster C_i (that is, the number of subjects assigned to that cluster).

Then for each pair of clusters i and j, we define the matrix

$$R_{ij} = \frac{S_i + S_j}{M_{ij}} \tag{2}$$

where $M_{ij} = \|\vec{A}_i - \vec{A}_j\|$ is the separation between clusters *i* and *j* (that is, the distance between their corresponding centroids).

Thus, we can define the DB index as

$$DB = \frac{1}{N} \sum_{i=1}^{N} D_i \tag{3}$$

where $D_i = \max_{i \neq j} R_{ij}$.

S.4.9. Normalized Mutual Information Score

In order to compare the consistency between two independent runs of the K-means algorithm in terms of the individuals' composition of the clusters obtained, we use the Normalized Mutual Information Score (37), as implemented in the Python package SciKit Learn).

The Mutual Information is a measure of the similarity between two clustering (or labeling) systems U and V of the same data into disjoint subsets, and it is given by the relative entropy between the joint distribution and the product distribution. Mutual Information between clustering systems U and V is then defined as

$$MI(UV) = \sum_{i=1}^{U} \sum_{j=1}^{V} P(i,j) \log \frac{P(i,j)}{P(i)P'(j)}$$
(4)

where P(i) is the probability of a random sample occurring in cluster U_i and P'(j) is the probability of a random sample occurring in cluster V_j .

To obtain a Normalized Mutual Information Score in such a way that it is bounded between 0 (no mutual information) and 1 (perfect correlation), the Mutual Information is normalized by $\sqrt{H(U) * H(V)}$, being H(U) the entropy of the clustering system U, and H(V) that of clustering V.

Note that this metric is independent of the absolute values of the labels: a permutation of the class or cluster label values will not change the score value in any way, and furthermore, it is symmetric, since switching the labels from clustering system U to clustering system V will return the same score value.

In fig. S18 we present the average value of Normalized Mutual Information, $\langle MI \rangle$, for any number of clusters in the original data, and in the randomized version of the data, over 2,000 runs of the algorithm. We proceed as follows: we perform (1,000) pair-wise comparison of clustering schemes obtain in different runs, calculating its corresponding score in each case, so then we can obtain an average. We observe how the score is significantly higher in the case of the actual data, than when comparing with the results from a randomized version of the data (for example, $\langle MI \rangle = 0.97$, SD : 0.03, vs $\langle MI \rangle = 0.59$, SD : 0.18 for actual and randomized results at k = 5 clusters), which indicates that the individuals composition of the clusters in any two runs of the algorithm on the real data are extremely correlated, but it is not the case for two runs over randomized data. Finally, we also report that the score is at its highest value for k = 5clusters.

S.4.10. Age and gender by phenotype

The average (SD) age by phenotype is: for the Envious is 29.9(13.9); 32.5(13.7) for the Optimist; 32.0(16.8) for the Undefined; 32.29(14.1) for the Cooperators, and 30.7(13.8) for the Pessimist. We do not observe significant differences on the average age among different phenotypes nor with respect with the population average (31.3, SD: 14.3).

In fig. S19 we present the age distributions by phenotype, as they compare to the distribution for the whole population. We do not observe significant differences for any of the distributions by phenotype when comparing with that for the whole population nor by doing pair-wise comparisons of different phenotypes. The corresponding p-values for the KS-test (used to compare the probability distribution of two samples) of each possible pairwise combinations are nonsignificant: Envious vs Optimist: 0.31; Envious vs Undefined: 0.29; Envious vs Trustful: 0.32; Envious vs Pessimist: 0.81; Optimist vs Undefined: 0.57; Optimist vs Trustful: 0.99; Optimist vs Pessimist: 0.64; Undefined vs Trustful: 0.71; Undefined vs Trustful: 0.71; Undefined vs Pessimist:0.68; Trustful vs Pessimist: 0.67. Similarly, the p-values for all comparisons between clusters and the whole population are non-significant: Optimist vs all: 0.88; Envious vs all: 0.79; Undefined vs all: 0.68; Trustful vs all: 0.88; Pessimist vs all: 0.81.

The percentage of males for each phenotype is: 67% among the Envious, 64% among the Optimist, 64% among the Undefined, 61% among the Cooperators and 64% among the Pessimists (while the percentage of males for the whole populations is 64%). The z-scores of the comparison of gender distributions of each cluster vs the whole population by bootstrapping are all non-significant: Envious vs all: -0.036; Optimist vs all: -0.460; Undefined vs all: -0.260 ; Trustful vs all: -0.646; Pessimist vs all: -0.132.

S.4.11. Differences between experimental and numerical behavioral heatmaps

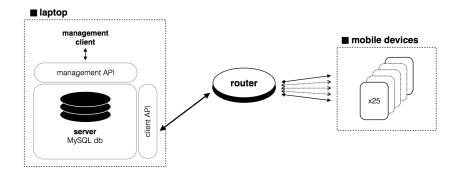
Assuming that each subject in our study plays using one and only one of the behavioural rules or phenotypes, and preserving the relative fractions of each one of them present in the population as found by the clustering algorithm, we can compute the differences between experimental and numerical (or inferred) behavioral heatmaps for each phenotype. In fig. S20 it can be seen that, even if occasionally the difference can reach up to 4 SD units for a particular (T, S) point, there is no systematic bias in any of the different heatmaps. The average difference in the aggregate case is of 1.39 SD units, while the difference by phenotype are: 1.91 SD units for Envious, 1.85 SD units for Optimist, 2.14 SD units for Pessimist, 1.79 SD units for Trustful, 1.12 SD units for Undefined. Thus none of the phenotypes presents an average difference beyond the 99% Confidence Interval (2.575 SD units). Indeed, only Pessimists present an average difference out of 95% Confidence Interval (1.96 SD units), the rest are below such standard threshold. We thus clearly show that the aggregation of the behavior of our volunteers into the proposed phenotypes is not significantly different from what we have obtained in the experiment.

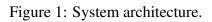
S.4.12. Dependence of cooperation on S - T

Inspired by the population-level observation about the patterns described by lines parallel to S = T, and the fact that the population as a whole does not seem to distinguish between SH and SG, we studied cooperation as a function of the combined variable (S - T). The results, represented in fig. S21, show a remarkable collapse of all curves into a single one, indicating that the aggregate cooperation level can be described by (S - T), as previously pointed out by Rapaport (11, 13). In this respect, it is worth noting that (S - T) represents the maximum possible payoff difference for any game. For very negative values of (S - T), which corresponds to the PD game, the levels of cooperation are low but not zero, while for positive values (corresponding to HG) they are high, with intermediate, increasing values of

cooperation for the region $(S - T) \in [-10, 0]$, which roughly corresponds to a combination of the coordination and the anti-coordination games. This suggests that competition, in the sense of ending up being better off than one's counterpart, may be important for our experimental subjects.

Further, we check whether these results are reproduced from our interpretation of the clustering results and the corresponding simulations. In fig. S22 we plot together the results obtained from numerical simulations that use the experimentally obtained classification. As shown, by simply using the right fraction of each phenotype (behavioral rules) in the population, we can recover the observed diagonal symmetry, thus further confirming our 5-phenotype hypothesis.





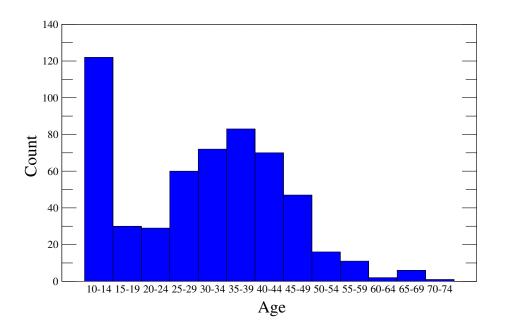


fig. S2. Age distribution of the participants in our experiment.

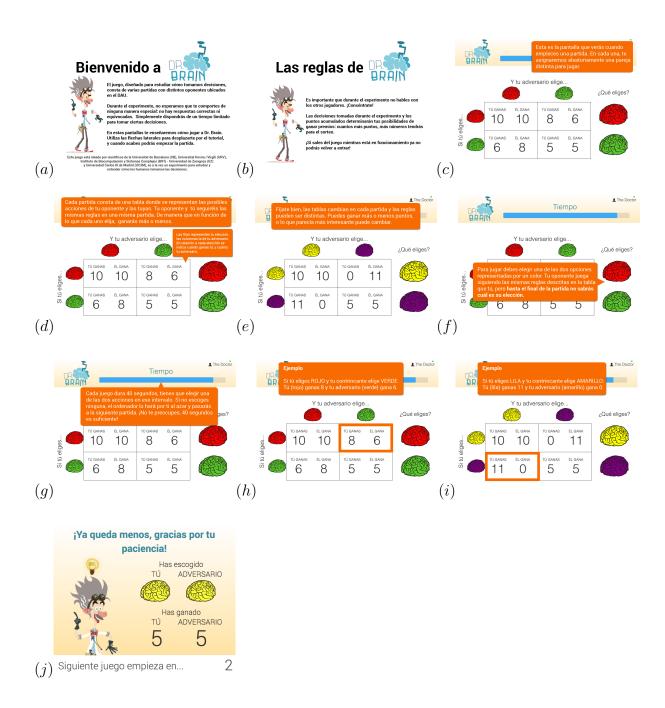


fig. S3. Screenshots of the tutorial shown to participants before starting the experiment, and feedback screen after a typical round of the game. See text for translation.

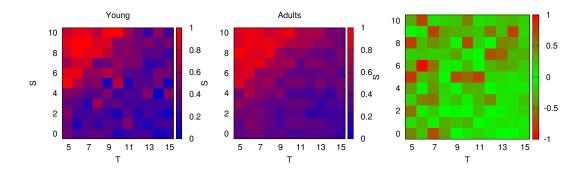


fig. S4. Fraction of cooperative actions for young (≤ 15 years old) and adult players (> 16 years old), and relative difference between the two heatmaps: (young-adults)/adults.

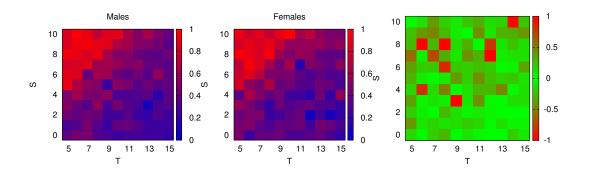


fig. S5. Fraction of cooperative actions for males and females separately, and relative difference between the two heatmaps: (males-females)/females.

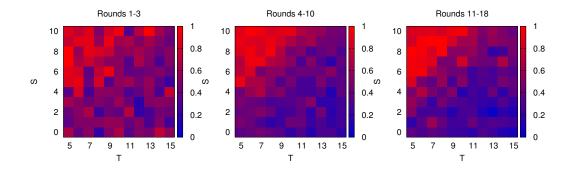


fig. S6. Fraction of cooperative actions separating by round number: for the first 1 to 3 rounds, 4 to 10 and last 11 to 18 rounds.

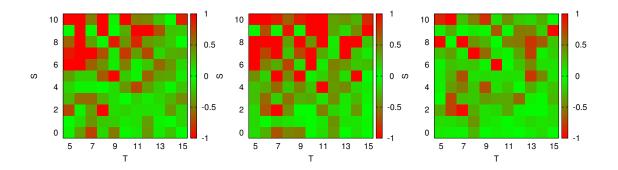


fig. S7. Relative difference in the fraction of cooperation heatmaps between groups of rounds. Left: (rounds 1 to 3 - rounds 4 to 10)/(rounds 4 to 10); Center: (rounds 1 to 3 - rounds 11 to 18)/(rounds 11 to 18) ; Right: (rounds 4 to 10 - rounds 11 to 18)/(rounds 11 to 18).

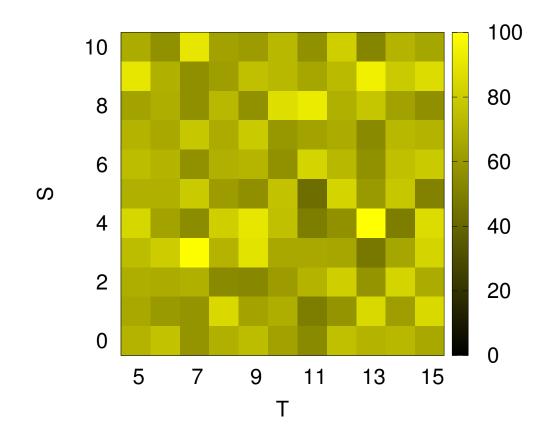


fig. S8. Total number of actions in each point of the (T, S)-plane, for all 541 participants in the experiment (the total number of game actions in the experiment adds up to 8, 366).

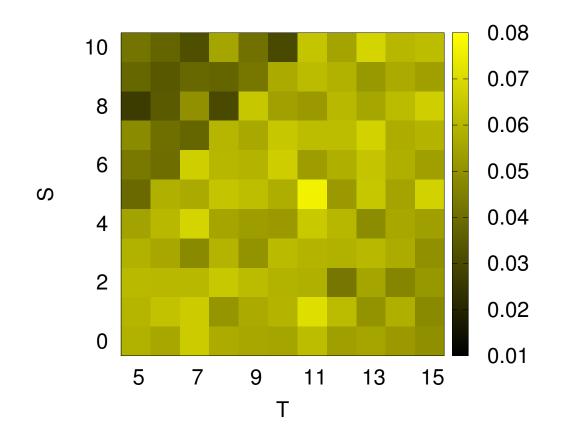


fig. S9. Standard Error of the Mean (SEM) fraction of cooperative actions in each point of the (T, S)-plane, for all the participants in the experiment. The HG regions leads to lower SEM of cooperation and that was expected given that two important types of phenotypes predict cooperation. To get a 95% Confidence Interval errors bars should be multiplied by 1.96.

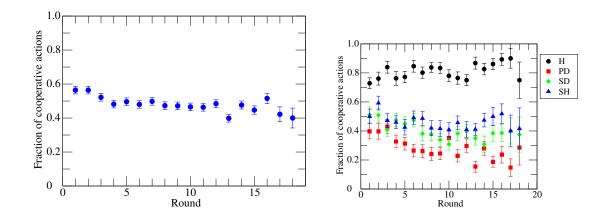


fig. S10. Average fraction of cooperative actions (and Standard Error of the Mean) among the population as a function of the round number overall (left) and separating the actions by game (right).

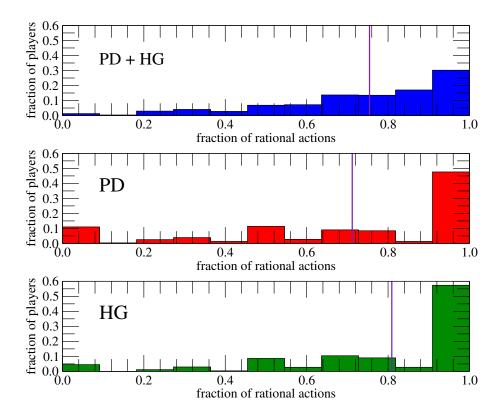


fig. S11. Distribution of fraction of rational actions among the 541 subjects of our experiment, when considering only their actions in the Harmony game (HG), or the Prisoner's Dilemma (PD), or both together. The purple line indicates the mean value.

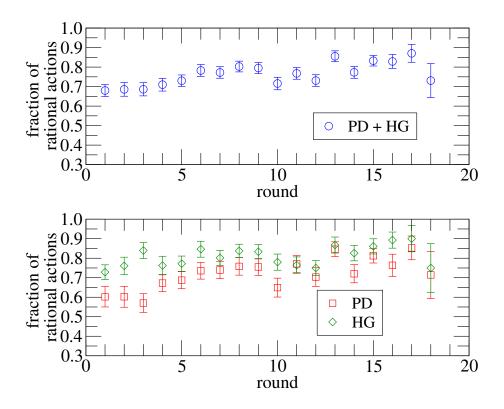


fig. S12. Fraction of rational actions as a function of the round number for the 541 subjects, defined by their actions in the Prisoner's Dilemma game (PD) and Harmony game (HG) together (top), and independently (bottom). The bars correspond to the Standard Error of the Mean.

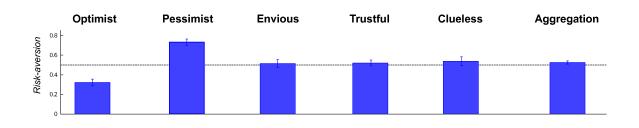


fig. S13. Values of risk-aversion averaged over the subjects in each phenotype. The phenotypes of Optimist and Pessimist show significantly lower and higher values than random expectation, respectively. Error bars indicate 95% Confidence Intervals.

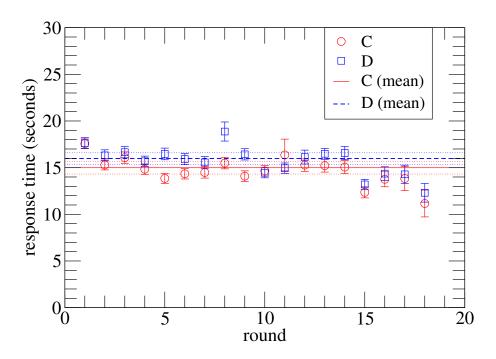


fig. S14. Average response times (and Standard Error of the Mean) as a function of the round number, for all the participants in the experiment, and separating the actions into cooperation (C) or defection (D).

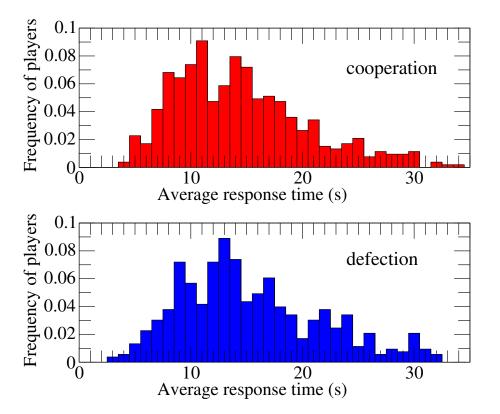


fig. S15. Distributions of response times for all the participants in the experiment, and separating the actions into cooperation (top) and defection (bottom).

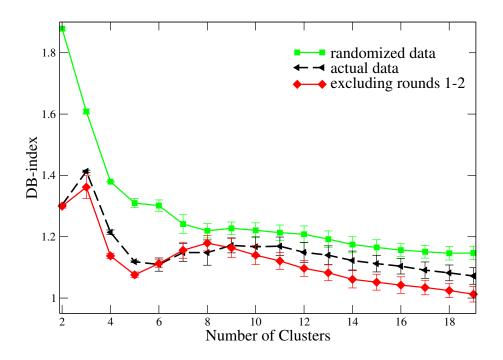


fig. S16. Testing the robustness of the results from the *K*-means algorithm. We present the average value of the DB-index over 200 independent runs of the algorithm on the data, as a function of the number of clusters (black). The optimum number of clusters is 5 (we note that, although a 6-cluster partition is also comparably good, the Standard Deviation (SD) is larger in that case, indicating less stability across different runs). We also show the results for the case of a randomization of the data (green). In this case, we observe that there is no local minimum, indicating a lack of cluster structure. Finally, we observe that when excluding the first two choices of every subject in our experiment (to account for excessive noise due to lack of experience), the position of the optimum is located in a clearer way at 5.

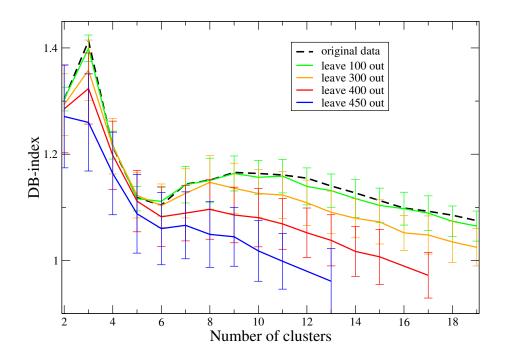


fig. S17. DB-index as a function of the number of clusters in the partition of our data (dashed black) as it compares to the equivalent results for different leave-p-out analyses. See text for details. The bars correspond to the Standard Deviation over the 200 independent realizations of the algorithm.

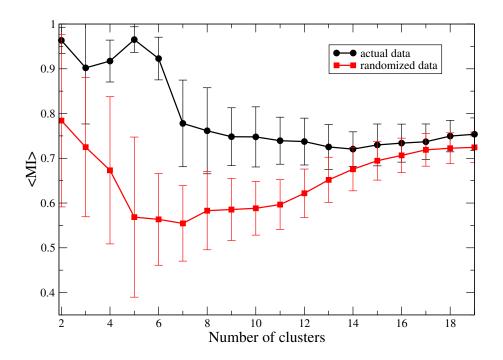


fig. S18. Average value for the Normalized Mutual Information Score, when doing pair-wise comparisons of the clustering schemes from 2,000 independent runs of the *K*-means algorithm, both on the actual data, and on the randomized version of the data.

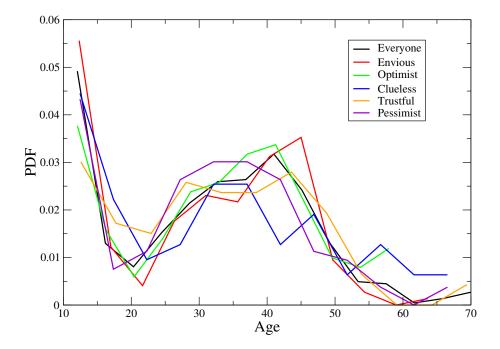


fig. S19. Age distribution for the different phenotypes, as it compares to the distribution of the whole population (black).

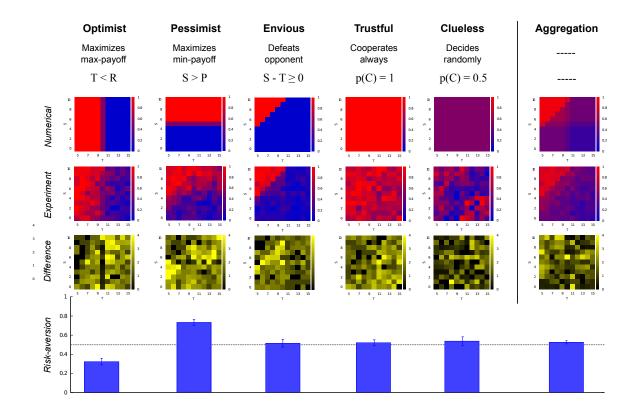


fig. S20. Difference between the experimental (second row) and numerical (or inferred, first row) behavioral heatmaps for each one of the phenotypes found by the K-means clustering algorithm, in units of SD. The difference between theory and experiment averaged over all (T, S)-plane is 1.91 SD units for Envious, 1.85 SD units for Optimist, 2.14 SD units for Pessimist, 1.79 SD units for Trustful, 1.12 SD units for Undefined and 1.39 SD units for the overall results in the Aggregation column.

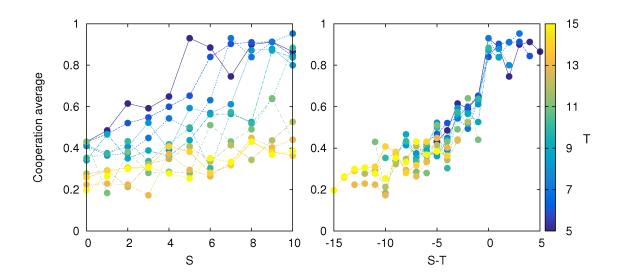


fig. S21. Average level of cooperation over all game actions and for different values of T (in different colours). We observe disparate results when cooperation fraction is represented as a function of S (left) but we find a nice collapse of all curves when cooperation level is expressed as a function (S - T) (right).

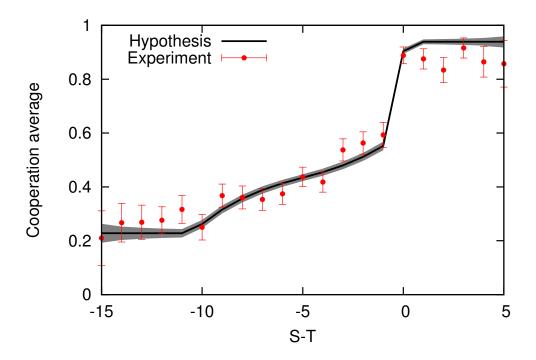


fig. S22. Average level of cooperation as a function of (S - T) for both hypothesis and experiment. We consider the weight (number of decisions) in each cell when averaging over cells with same (S - T). The error bars and the grey area represents a 95% Confidence Interval for the experimental points and the recreated curve respectively.